

**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

3594-5

APPLICANT

HARRINGTON et al.

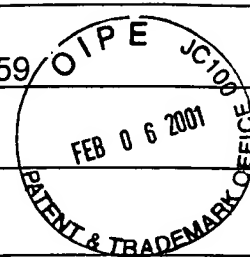
FILING DATE

May 29, 1998

SERIAL NO.

09/666,559.

GROUP



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

22	1990 WL 286361 (S.E.C.), SEC No-Action Letter, *1 CapitaLink Securities Corporation and CapitaLink Bond Auctions, Incorporated, dated 4/6/1989, Publicly Available April 10, 1990
27	1986 WL 67656 (S.E.C.), SEC No-Action Letter, *1 CapitaLink Securities Corporation, dated 4/25/1986, Publicly available August 9, 1986
27	1997 WL 9063 (S.E.C.), (SEC No-Action Letter) *1 Intervest Financial Services, Inc., dated 12/23/1996, Publicly Available January 13, 1997
27	1999 WL 239650 (S.E.C.) (Sec No-Action Letter), *1 Bloomberg L.P. and Bloomberg Tradebook LLC, dated 2/26/1999, Publicly Available April 21, 1999

*Examiner

Date Considered

12/31/02

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)

Form PTO-FB-A820 (Also PTO-1449)

INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.

SERIAL NO.

3594-5

09/666,559

APPLICANT

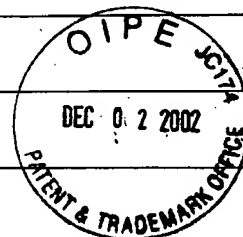
HARRINGTON et al.

FILING DATE

GROUP

September 21, 2000

2164



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

[illegible]

*Examiner

Fraser Thompson

Date Considered

12/26/02

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.

3594-5

APPLICANT

HARRINGTON et al.

FILING DATE

September 21, 2000

SERIAL NO.

09/666,559

GROUP

2164



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

RECEIVED
DEC 04 2002
GROUP 3600

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

[illegible]

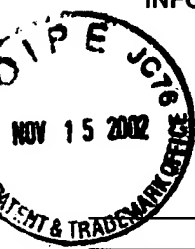
*Examiner

Frank O. Thompson

Date Considered

12/23/02

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.



**INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

3594-5
APPLICANT

09/666,559

HARRINGTON et al.
FILING DATE

GROUP

September 21, 2000

2164

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
H	US2002/0133422A1	09/2002	Graff			

RECEIVED

NOV 19 2002

GROUP 3600

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

*Examiner Justin Hargrave Date Considered 12/26/02

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.